	SAFX TRADING (PTY) LTD **Initial Statutory Disclosures**	Page 1 of 3

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Next Review Date	03 December 2025
Policy Owner	SAFX TRADING (PTY) LTD
Responsible Business Unit	Abdullah Bhamjee

1. STATUTORY DISCLOSURES

Business Details

FSP Licence Number:	51128
Address (Postal & Physical):	12 Crusher Road, Unit 3, Crown Extension 3, Johannesburg
Contact Person:	Abdullah Bhamjee
Telephone Number:	082 633 5666
Fax Number:	N/A
Cellular phone number:	082 633 5666
E-mail Address:	abdullah@sa-fx.co.za
Financial Advisor:	Nkosana Ngwenya
Cellular phone number	082 633 5666
E-mail Address	abdullah@sa-fx.co.za

Legal and Contractual Status

The Financial Service Provider is a Private Company and is a duly authorised intermediary of the following product suppliers:

1)	Capitec	Tel:
2)		Tel:

No conditions or restrictions have been imposed by any of the above product suppliers.

The financial advisor is an authorised representative of SAFX Trading and has been appointed in terms of a written agreement. SAFX Trading accepts responsibility for its own actions and for the actions of the financial advisor that may be performed by him or her acting within the course and scope of the written agreement.

Financial Services for which Authorised

In terms of the licence issued to SAFX Trading by the Registrar, the financial advisor is authorised to provide financial services in respect of the following financial product categories:

Financial Product CATEGORY I		Advice	Intermediary Services	Services under Supervision
1.1	Derivative instruments	✓	✓	
1.2	Long-term Deposits	✓	✓	
1.3	Short-term Deposits	✓	✓	

No conditions or restrictions have been imposed by the Registrar.

Compliance Department

The provider has appointed Moonstone Compliance (Practice No 188) as its external compliance practice. The Compliance Officer is Mrs Nelda de Jager Tel: 082 884 1828, Fax: 021 883 8000, Postal Address: PO Box 12662, Die Boord, Stellenbosch, 7613, or 25 Quantum Street, Technopark, Stellenbosch, 7600

The provider is not required to appoint a Compliance Officer and subscribes to the Moonstone Compliance Protector Service. Moonstone Compliance may be contacted at: Tel: 021 883 8000, Fax: 021 883 8005.

Professional Indemnity

The provider does hold suitable guarantees.

The provider does hold professional indemnity.

The provider does hold fidelity insurance cover.

Disclosure of Interest and Remuneration

Please note that the provider has established a Conflict of Interest Management policy which requires your financial advisor to disclose any actual or potential conflict of interest to you. A copy of the Conflict of Interest Management Policy is available to the client at this office during office hours each day. Neither the provider nor your financial advisor holds directly or indirectly more than 10% of any product supplier's shares or an equivalent financial interest. Your financial advisor has received more than 30% of his/her total remuneration, including commission from Momentum Group limited during the preceding 12 months.

Complaint Resolution System and Procedures

Should you be dissatisfied with any aspect of the service performed by the provider or your financial advisor, you should address your complaint in writing to the Key Individual at the above address. Please note that we have established a written internal compliant resolution system with detailed procedures. Access to written procedures and a copy of the complaint resolution system is available to clients at this office during office hours each day.

Signing of Incomplete Documents

You are hereby advised and cautioned that no person acting on behalf of the provider may in the course of the rendering of a financial service request you to sign any written or printed form or document unless all details required to be inserted thereon by you or on your behalf have already been inserted.

Responsibility for Correctness and Completeness of Information

Please be aware when completing any documentation or providing any information, that all material facts must be accurately and properly disclosed. You are entirely responsible for the accuracy and completeness of all answers, statements or other information provided by you or on your behalf.

Please note that all material facts in respect of any application, proposal, order, instruction or other contractual information that is required to be completed for or submitted to a product supplier by or on your behalf that relates to the purchase of or investment in any financial product, including any amendment thereof or variation thereto, must be accurately and properly disclosed.

Any misrepresentation or non-disclosure of a material fact or the inclusion of incorrect information could result in the cancellation of the transaction by the product supplier.

Waiver of Rights

You are hereby advised that no financial advisor or any other person may ask you or offer any inducement to you to waive any right or benefit conferred on you by or in terms of any provision of the General Codes of Conduct. A copy of the Code of Conduct is available on request.

General

The provider may from time to time receive non-cash incentives from product suppliers or indirect consideration from other persons. The provider will make available specific details should this occur.

All information obtained or acquired from you, the client, will remain confidential unless you provide written consent, or unless we are required by any law to disclose such information.

Signed for and on behalf of the Client	
Signature:	Name: Abdullah Bhamjee
At: Johannesburg	Date: 02/05/2025